Cleveland State University Records Retention Schedule

MAY 2017

UNDERSTANDING THE RECORDS RETENTION SCHEDULE

Definitions of words listed in the **DISPOSITION** column of the retention schedule

The disposition will either be "destroy" or archive.

Review for continuing historical value and potential transfer to University Archives - records are to be reviewed and a determination made by the area if records qualify for forwarding to the University Archives. If assistance is needed in determining if records have **historical value**, contact the Archivist for assistance. Final disposition will be made by the University Archivist. Those records determined to not have historical value may be destroyed after proper approvals.

Archives

Documents with historical value may be forwarded to the University Archives for storage. This is identified in the disposition section of the schedule. Contact the university archivist for instruction. Complete the **Transfer to Archives Certificate** located in the online program manual. Prepare an index of documents contained in the boxes. Give a copy of the index to the archivist and keep one for your files.

Permanent

The continued preservation of information or other matter without any limit in time. Records with a disposition of permanent and not eligible for transfer to the archives are to be stored by the department.

Gifts

Gifts to institutions are divided into two classifications: current gifts and deferred

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Retention Periods Pertain To Paper and Electronic Formats

official keeper of record and required to retain record for

entire retention period	in the second	inu requireu to retain	
Record Series	IUC No.	Retain	Disposition
Classified Staff Report Union Dues Membership	ACC1000	4 years	Destroy
List			
(biweekly listing of university employees paying			
dues to unions, including pertinent personal data,			
classification and department)			
Conference Services	ACC1000	1 year	Destroy
Accounts Payable		(from date of	
(rental equipment ordered for events on campus for		rental return)	
both on campus groups and outside organizations)			
Conference Services	ACC1000	Active + 4 years	Destroy
Accounts Receivable Rentals			
(rental contracts with full set up and billing			
information regarding events from an outside			
organization utilizing university facilities)			
	ACC1000		

Return Authorizations

(forms for returned goods bought on PO, returned for repair or replacement, etc includes RA#'s and credit memos if applicable)

Cleveland State University Records Retention Schedule Page 7 Retention Periods Pertain To Paper Copies and Electronic Formats <u>official keeper of record</u> and required to retain record for

entire retention period		<u>a</u>	
Record series	IUC No.	Retain	Disposition
Parking	ACC1000	4 years	
Daily Income Records			

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entire retention period

	Record series	IUC No.	Retain	Disposition	
ļ	Accord Series		Ixetain	Disposition	

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entire retention period					
Record series	IUC No.	Retain	Disposition		
Facilities Management	ADM9900	5 years	Destroy		
Daily Energy Reports		-	-		
(total campus electric and steam, projected					
monthly electric use and cost compared to					
budget)					

Cleveland State UniversityRecords Retention SchedulePage 12Retention Periods Pertain To Paper Copies and Electronic Formats

official keeper of record and required to retain record for

IUC No.	Retain	Disposition
ADM9900	3 system update cycles after code is superseded or	Destroy
	-	Destroy
11211/200		Desuby
ADM9900	Retain 3 system	Destroy
	backups	
ADM9900	Active	Destroy
ADM9900	Until obsolete	Destroy when no longer used & all data is migrated to new hardware.
ADM9900	Active + 6 years	Destroy
	ADM9900 ADM9900 ADM9900 ADM9900	ADM9900 3 system update cycles after code is superseded or replaced ADM9900 Active ADM9900 Retain 3 system backups ADM9900 Active

Policy Forms

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	cial meeper of reeo	<u> </u>	
entire retention period			
Record series	IUC No.	Retain	Disposition
Capital Planning	ADM 2030	Active + 6 years	Archives
<u>Blueprints</u>			
Capital Planning	ADM 2030	Active + 6 years	Life of building
Building Specifications			
(campus planning requirements for Stilwell			
Hall, Science and Science II)			
Capital Planning			
Construction Projects <u>Design Review</u>			



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official keeper of record and required to retain record for entire retention period			
Record series	IUC No.	Retain	Disposition
Capital Planning-Architects Building and Project Plans (original building plans and details for new buildings, building renovations and original building documents Pre-CSU)	ADM2030	Active + 6 years (inactive when no longer referenced)	Archives (Retain for life of building)
Capital Planning - ArchitectsConstruction Projects ConstructionDesigns and Specifications(written requirements and standards for materials, equipment, construction systems and workmanship as applied to the work and certain administrative details applicable thereto)	ADM2030	Active + 6 years	Permanent
Capital Planning - Architects <u>Construction Projects Design Review</u> <u>Committee</u> (minutes, agendas, correspondence and general information. Committee responsible to review site and elevation plans to ensure compatibility with surrounding projects and master plan)	ADM2030	Active + 6 years	Review for continued value and possible transfer to archives
Capital Planning - ArchitectsConstruction Projects ConstructionDocumentsDocuments(collectively, the drawings, specifications, addenda, definitions, notice to bidders, bid form, contract and attachments, bond, bulletins, shop drawings, change orders, change order procedures and pricing guidelines and standard conditions of the contract assignments if any – general and special)	ADM2030	Active + 6 years	Permanent
Capital Planning - Architects <u>Construction Projects</u> <u>Design Development</u> (design of project is fully described with regard to the basic building systems and materials as well as all special systems needed to support the program)	ADM2030	Active + 6 years	Archives
Capital Planning-Architects <u>Construction Project Request & Program</u> <u>Statement</u> (request from the University community to initiate a project)	ADM2030	Active + 6 years	Review for continued value and possible transfer to archives

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<u>offici</u>	<u>official keeper of record</u> and re				
entire retention period					
Record series	IUC No.	Retain	Disposition		
Ohio Board of Regents					
<u>Annual FTE Report</u>					
(required annual report to OBOR documenting					
full time equivalent staffing levels. Summary					



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entire retention period			
Record series	IUC No.	Retain	Disposition
Human Resources	ADM9900	1 year	Destroy
Administrative State Civil Service, Personnel		5	
Accounting for Classified Employees			
Human Resources	ADM9900	1 year	Destroy
Classified Staff Report			
(monthly and annual reports listing information			
on classified employees such as promotions,			
training, classifications, new hires, pay			
range/step, suspensions, terminations, etc.)			
Human Resources	ADM9900	1 year	Destroy
Department Assistance File			
Classified Staff			
(correspondence documenting personnel office			
services to university or college department			
regarding classified staff positions)			
Human Resources	ADM9900	5 years	Destroy
Personnel Reports			
(vacancy tracking system; promotions tracking			
system and other administrative processes)			
Input Documents	ADM9900	Until verified	Destroy
(copies of records or forms designed and used			
solely for data input and control)			
Libraries	ADM9900	SUP	Archives
Annual Library Reports			
Libraries	ADM9900	Until Obsolete	Destroy
<u>Library Database</u>			
Libraries	ADM9900	Active + 1 year	Destroy
MMS Media Lab Daily Log			
Libraries	ADM9900	Active + 1 year	Destroy
MMS Reserve Request Sheets			
Library	ADM9910	3 years	Destroy
Study Carrel Registers			
(record of study carrel and whom assigned –			
individual forms and master list)			
Library	ADM9910	3 years	Destroy
Subject Guides			
(HTML documents updated by CDM staff)			
Parking & Transportation	ADM9900	1 year	Destroy
Bicycle Registration Forms			

Cleveland State University Records Retention Schedule Page 22 <u>Retention Periods Pertain To Paper Copies and Electronic Formats</u> official keeper of record

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official keeper of record and required to retain record for

entire retention period				
Record series	IUC No.	Retain	Disposition	
Admissions	EDU1010	Active + 3 years	Destroy	
Applicants who do Matriculate Letters of			-	
Recommendation				
Admissions				
Applicants who do Matriculate Transcripts,				
High School				
(documents from facilities in other countries				
may be originals and difficult for the applicant				
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to replace. You may consider returning these to the applicantM 503.1hETQ0 T54.24 503.11 242.5

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entire retention period			
Record series	IUC No.	Retain	Disposition
Education Student Services Center	EDU1010)	Active	Move to
Student Files		(until program	inactive or
Actively enrolled undergraduate,		completed or	program
postgraduate and second area certification,		inactivity	complete
master's education specialist, and non-degree		determined	files/follow
graduate			appropriate
			disposition
Education Student Services Center	EDU1010	IND	Permanent
<u>Student Files</u>			
(Completed teach preparation, never applied			
for certification; problem students;			
academically dismissed undergraduate/post			
baccalaureate, master's educational			
specialist, non-degree graduate \			
Education Student Services Center	EDU1010	Active $+ 2$ years	Destroy
Student Files			-
(Provisional Master's, Educational Specialist,		Retain 7 years	
potential Candidates Graduate Counseling,		those likely to	
denied admissions Master's and Education		bear upon a	
Specialist, Undergraduate, Post		dispute	
Baccalaureate and second area certification			
Education Student Services Center			

Cleveland State University Records Retention Schedule Page 30 <u>Retention Periods Pertain To Paper Copies and Electronic Formats</u> official keeper of record and required to retain rec

	official keeper of rec	ord and required	to retain record for		
entire retention period					
Record series	IUC No.	Retain	Disposition		
Certification/Licensure Records			. –		
(pertaining to Education Student Services					
Center)					

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official keeper of record and required to retain record for entire retention period

Record series	IUC No.	Retain	Disposition
Financial Aid			
Guaranteed Student Loan Applications			
(copy of student loan application, which is			

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Cleveland State UniversityRecords Retention SchedulePage 34Retention Periods Pertain To Paper Copies and Electronic Formats

official keeper of record and required to retain record for

entire retention period	mean reper of record and required to recam record for			
Record series	IUC No.	Retain	Disposition	
International Services	EDU1010	Active + 2 years	Destroy	
Applications Awaiting Decisions				
International Services	EDU1010	Active + 2 years	Destroy	
Transfer Credits-Study Abroad				
(includes letters of credential evaluation)				
Law, College of				
Student Files				

(Official

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Retention Periods Pertain To Paper Copies and Electronic Formats

entire retention period **Record series** IUC No. Retain Disposition Libraries EDU3000 IND Review for possible **Library Statistics** (usage of periodicals, serials acquisitions, transfer to (minimum acquisitions/pre-orders, services and collections, Active + 5 years) archives reference, slide library, multimedia service, film service, material deposit, web statistics) some statistics are coded into iii and compiled on demand) Medical Records - Applicants who do EDU1010 Active + 1 year Destroy Matriculate (medical records related to application to the university) Medical Records - Applicants who do not EDU1010 1 year Destroy Matriculate Active + 1 year **Ohio Bureau of Criminal Identification &** EDU1010 Destroy **Investigation Authorization**

Cleveland State University Records Retention Schedule Page 37 **Retention Periods Pertain To Paper Copies and Electronic Formats**

entire retention period

Record	IUC No.	Retain	Disposition
Official Class Roster	EDU1015	Active + 3 years	Destroy
(lists of students enrolled for individual classes)			(review for
			historical
			value)
Student Records Veterans Administration	EDU1020	Active + 3 years	Destroy
Records and Correspondence			
Requests and Disclosures of Personally	EDU1020	Active + 3 years	Destroy
Identifiable Information			
Student Conduct Verifications	EDU1030	Active + 3 years	Destroy
		(inactive at end of	
		semester)	
Student Conduct	EDU1030	Active + 3 years	Destroy
Student Disciplinary Files Requests for			
Formal Hearings			
Student Conduct	EDU1030	Active + 3 years	Destroy
Student Disciplinary Files Written Decisions			
<u>of Hearing Panels</u>			
Student Conduct	EDU1030	Active + 3 years	Destroy
Student Statements Regarding Hearing Panel			
Decisions			
(student statements on content of records			
regarding hearing panel decisions			
Student Waivers for Rights of Access To See	EDU1030	Active + 3 years	Destroy
<u>Letters of Recommendation</u> for Admission for			
Applicants who do Matriculate			
Honors College			

Honors College Admission Documents

(students who do not enroll, includes transcripts,

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Cleveland State University Records Retention Schedule **<u>Retention Periods Pertain To Paper Copies and Electronic Formats</u> is considered the official keeper of record and required to retain record for**

Record	IUC No.	Retain	Disposition
Development	EDU2000	Active + 6 years	Destroy
<u>Gift Files</u>			
(gifts to institutions are divided into two		(current cash gifts do	
classifications: current gifts and deferred		not need to be	
gifts. A gift is considered current when the		retained more than 7	
donors completely and irrevocably relinquish		years; records of non-	
any interest they have in something, ex.:		cash gifts such as	
presenting a check, transferring real estate, or		stock or real property	
giving stock. A gift is considered deferred		should be retained	
when the donors transfer property to an		during the time the	
institution but retain use of the property for s		institution has	
specific period of time.		possession of gift	
		plus at least 4 years	
		after possession is	
		relinquished)	
Financial Aid	EDU2000	Active $+ 6$ years	Destroy
Graduate Scholarship/Assistant Awards			
Financial Aid	EDU3000	Active	Destroy
Award Letters			
(summary of students' financial aid each			
year; confirms acceptance or rejection)			
Financial Aid	EDU3000	Active	Destroy
Ohio Academic Scholarship Rosters - Non			
U.S. Department of Education Funding			
(lists of students receiving scholarships for			
current year)			
Graduate Tuition Grant Services	EDU2000	Active $+ 6$ years	
Agreement			

Contract between graduate students and various departments

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entire retention period Record

IUC No. Retain

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entire retention period

Record	IUC No.	Retain	Disposition
Environmental Health & Safety			
Environmental Monitoring/Safety			
Records			

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entire retention period

Record	IUC No.	Retain	Disposition
Bank Deposits	FIN1000	4 years	Destroy
(record of deposits in banking institutions,			
includes batch deposits and control sheets)			
Bank Reconciliations	FIN1000	4 years	Destroy
(explanation of differences between bank			
statement balance and actual balance)			
Bank Statements	FIN1000	4 years	Destroy
(periodic statement of bank balances)			
CSU Foundation	FIN1000	Active + 6 years	Destroy
CSU Foundation Documents			
	FIN1000	4 years	Destroy
Check Runs			
(checks issued from student's accounts,			
includes account history, check register,			
check edit, list of checks to be voided or			
refunded)			
	FIN1000	4 years	Destroy
Canceled Checks			

Check Register/Log

(handwritten listing of vendor, amount, date and check number-

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entire retention period

Record	IUC No.	Retain	Disposition
	FIN8010	Active + 5 years	Destroy
Minority Vendors Orders Awarded			
(computer printout from IS&T showing			
purchase orders issued to vendors flagged a			
MDE's in our vendor file – monthly)			
Payroll	FIN1000	4 years	Destroy
Payroll Checks		-	
(checks paid employees for services			
performed)			
Parking	FIN1000	4 years	Destroy
Reconciliation Records			-
(record of daily tickets issued and returned			
and funds balance)			
Parking	FIN6000	4 years	Destroy
Open Voucher Lists		-	
(list of tickets in collection)			
Parking			
Tickets Unpaid	FIN6000	1 year	Destroy
Capital Planning-	FIN1000	Active + 6 years	Destroy
State Budget and Management Monthly			
Reports on Capital Funds			
(reports of monthly activity by capital			
appropriation fund, open encumbrance			
reports and State warrant (checks issued)			
reports			
Capital Planning-Architects	FIN8010	Active + 5 years	Destroy
Capital Funded Equipment Cards			
(records of capital funded purchases of			
equipment grouped by capital project			
numbers)			
Budget & Analysis Office	FIN2000	Active + 1 year	Destroy – Review for
Budget Institutional			historical value and
(final, approved, yearly budget for			transfer to Archives
university, usually in printed form)			
Budget & Analysis Office	FIN2000	Active + 1 year	Destroy – Review for
Budget Planning Documents		-	

(budget requests, including program plans for coming year, usually by cost center) Cleveland State UniversityRecords Retention SchedulePage 44Retention Periods Pertain To Paper Copies and Electronic Formats

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Department

entire retention period

Record	IUC No.	Retain	Disposition
General Counsel	LEG9900	3 years from date	Destroy
Public Records Request Files		request processed	
Graduate Studies/Research	LEG2000	IND	Archives
Analysis Reports Files			
Human Resources	LEG2000	Active + 5 years	Review for
Collective Bargaining Agreements			continued value and
			potential transfer to
			archives
Human Resources	LEG4000	Active + 6 years	Destroy
Disciplinary Files			
Human Resources	LEG4000		

Unemployment Compensation

Documentation

(consolidated file listing all employees: classified, contract and faculty, for whom an unemployment claims has been filed)

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of record and required to retain record for

entire retention period			
Record	IUC No.	Retain	Disposition
<u>Lease Agreements</u> (paperwork concerning leased equipment and vehicles)	LEG2000	Active + 6 years	Destroy
LINK Program Student Files (files pertaining to the LINK program; contains student, mentor, or alumni and corporate information and events, includes students who have graduated, actively enrolled, chronological files, banquet files, summer prep files and advisor files)	LEG2000	Active + 5 years	Destroy
<u>Proposals</u> Funded/Pending (includes financial records)	LEG2000	Active + 4 years	Archives

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entire retention period

Record	IUC No.	Retain	Disposition
Facilities Management	LEG5020	Active + 3 years	Destroy
Elevator Certificates			
(inspections and safety tests)			
Facilities Management	LEG5020		
Certificate of Occupancy			
(upon completion of building projects,			
building certified safe for occupancy –			

includes **permits**, **licenses**)

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Record	IUC No.	Retain	Disposition
Grievance Files Academic	LEG4000	Active + 6 years	Archives
(documenting grievances of students against			
faculty members)			
Law Legal Clinic	LEG4000	6 years	
Closed Files ELC			
(odd closed cases of legal clinic, includes			
client's correspondence, pleadings,			
discovery, attorney/student work products,			
transcripts, exhibits, final settlements and			
judgments)			

Retention Periods Pertain To Paper Copies and Electronic Formats

Record	IUC No.	Retain	Disposition
Police Department	LEG4000	Active + 6 years	Destroy
Police Reports Accidents (reports created by university police for traffic accidents that occur on campus)			
Police Department Police Reports Arrests (unport of ormast original situation or	LEG4000	Active + 6 years	Destroy
(report of arrest, criminal citation, or uniform traffic citation issued to offender)			

uniform traffic citation issued to offender)

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Record	IUC No.	Retain	Disposition
Human Resources	MIS1010	SUP	Destroy
State Classification Files - Classified			
Staff			
(lists of classification by pay range as			
directed by the State Department of			
Administrative Services)			
IS&T	MIS1000	1 year	Destroy
Information Systems			
Help Desk Logs and Reports			
(records used to document requests for			
technical assistance and responses to these			
requests as well as to collect information			
on the use of computer equipment for			
program delivery, security, or other			
purposes)			
IS&T	MIS1010	SUP	Destroy
Information Systems <u>Computer Run</u>			
Scheduling Records			
(records used to schedule computer runs			
including daily schedules, run reports, run			
requests, and other records documenting			
the successful completion of a run)			
IS&T	MIS1010	SUP	Destroy
Information Systems <u>Computer Usage</u>			
<u>Files</u>			
(electronic files or automated logs created			
to monitor computer system usage			
including but not limited to log in files,			
system usage files, data entry logs, and			
records of individual computer program			
usage)			
IS&T	MIS1010	SUP	Destroy
Information Systems <u>Tape Library</u>			
Control Records			
(records used to control disposition of			
magnetic media in a tape library)			

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Retention Periods Pertain To Paper Copies and Electronic Formats

Record	IUC No.	Retain	Disposition
Human Resources			
Layoff Documentation - Classified Staff			
(file contains printouts, rosters, and			
correspondence documenting layoff of			
university employees, pertinent employee			

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Record	IUC No.	Retain	Disposition
Financial Aid Student Employment	PER3000	Active + 6 years	Destroy
Personnel Files - Student Employees			
(active and inactive files. Student authorization			
forms, termination notices, State and federal			
withholdings, reclassification forms, step			
increases, exemptions, PERS).			
General Counsel	PER3000	Active + 6 years	Destroy
Faculty Files			
(files collected by the legal department			
concerning active and inactive staff)			
General Counsel	PER3000	Active + 6 years	Destroy
<u>Student Files</u>			
(files collected by the legal department			
concerning active and inactive students)			
Leave Record - Faculty	PER3000	Active + 6 years	Destroy
(vacation and sick leave earned and used)			
Market Salary Adjustment Information	PER3000	Active + 6 years	Destroy
(lists of eligible faculty and salary amounts,			
letters requesting applications, applications with			Archive: lists of
abbreviated vita, summary reports of faculty			eligible faculty
that applied)			with salary
			amounts, award
			letters and
			summary reports

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Retention Periods Pertain To Paper Copies and Electronic Formats

Record	IUC No.	Retain	Disposition
Health and Wellness			
University Medical Records			

(files of medical charts for university

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			record for
Record	IUC No.	Retention	Disposition
Environmental Health & Safety	PER4030	IND	Permanent
Hazardous Materials Exposure			(may be sent to Archives)
Documentation Radioactive Materials			
Incident Report			
(report of radioactive materials incident)			



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Record	IUC No.	Retain	Disposition
Government Relations Public	PUB2000	Active + 5 years	Destroy
Affairs Records			
(records related to activities and			
proposed laws)			
Art Gallery/Marketing	PUB3000	IND	Archives
Press Files			
(all press releases)			
<u>Cauldron</u>	PUB3000	IND	Archives
(back issues)			
Cleveland Stater	PUB3000	IND	Archives
(back issues			
Marketing	PUB3000	IND	Permanent -
Publicity News Releases			send to Archives
Marketing	PUB3000	IND	Permanent
Publicity photographs			send to Archives
Music Department	PUB3000	IND	Archives
Programs			
(programs of concerts and recitals			
performed at CSU)			
All Departments	PUB3000	IND	Destroy
<u>Newsletters</u>			
Whiskey Island Magazine	PUB3000	IND	Archives
(back issues)			

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Record	IUC No.	Retain	Disposition
Facilities Management	REF0000	IND	
Utilities Cost and Use Data		(minimum 5	
(electric, water, gas, and steam consumption and cost data		years)	